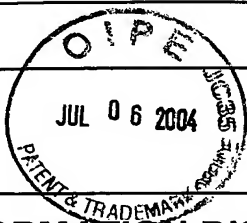


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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE  
STATEMENT**

Docket Number  
11743/1A

Application Number  
**10/811,283**

Filing Date  
March 26, 2004

Examiner  
Not Yet Assigned

Art Unit  
Not yet assigned

Invention Title  
**INFORMATION PROCESSING DEVICE AND  
INFORMATION PROCESSING METHOD**

Inventor(s)  
Mark NEWMAN et al.

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: July 1, 2004

Signature: Cliff A. Ulrich

Clifford A. Ulrich (Reg. No. 42,194)

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. The references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. Since the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to submit a copy of each cited U.S. Patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, copies of the U.S. patents and U.S. patent application publications listed on the modified PTO Form No. 1449 are not enclosed.
3. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
4. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated: July 1, 2004

By: Cliff A. Ulrich

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**PTO FORM 1449**

JUL 06 2004

Atty. Docket No.  
**11743/1A**

Serial No.  
**10/811,283**

Applicant(s)  
**Mark NEWMAN et al.**

Filing Date  
**March 26, 2004**

Group  
**To be assigned**

**U. S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,138,140	October 24, 2000	Yokote			
	6,141,660	October 31, 2000	Bach et al.			
	6,192,369	February 20, 2001	Doan et al.			
	6,415,259	July 2, 2002	Wolfinger et al.			
	6,510,426	January 21, 2003	Cohen et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS**

EXAMINER'S INITIALS		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
		T. Salo, et al., "Object Persistence Beyond Serialization: Increasing productivity and reducing maintenance", Dr. Dobb's Journal, May 1999, pp. 19-33.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.